Preliminary Amendment

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Applicant(s): Brian A. Vaartstra

Serial No. Unknown (Parent Serial No. 10/032,049)

Filed: Herewith (Parent: December 21, 2001)

For: METHODS FOR PLANARIZATION OF METAL-CONTAINING SURFACES USING HALOGENS AND

HALIDE SALTS

Amendments to the Claims

This listing of claims replaces all prior versions, and listings, of claims in the aboveidentified application:

Listing of Claims

1-50. Cancelled

51. (Original) A planarization method comprising:

positioning a metal-containing surface of a substrate to interface with a polishing surface, wherein the metal-containing surface comprises a metal selected from the group consisting of a Group VIIIB metal, a Group IB metal, and a combination thereof; supplying a planarization composition in proximity to the interface; and

planarizing the substrate surface;

wherein the planarization composition comprises a halogen-containing compound and a halide salt, with the proviso that the planarization composition does not include abrasive particles.

- 52. (Original) The method of claim 51 wherein the metal-containing surface of the substrate comprises a metal selected from the group consisting of a Group VIIIB metal, a Group IB metal, and a combination thereof, which is in elemental form or an alloy thereof.
- 53. (Original) The method of claim 51 wherein the metal-containing surface of the substrate comprises a metal selected from the group consisting of a Group VIIIB second row metal, a Group IB third row metal, a Group IB second row metal, a Group IB third row metal, and a combination thereof.

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54. (Original) The method of claim 53 wherein the metal-containing surface of the substrate comprises a metal selected from the group consisting of Rh, Pd, Pt, Ir, and Ru.

- 55. (Original) The method of claim 54 wherein the metal-containing surface comprises elemental platinum.
- 56. (Original) The method of claim 51 wherein the metal is present in an amount of about 50 atomic percent or more.
- 57. (Original) The method of claim 51 wherein the substrate is a semiconductor substrate or substrate assembly.
- 58. (Original) The method of claim 51 which is carried out in one step.
- 59. (Original) The method of claim 51 wherein the halogen-containing compound is selected from the group consisting of a halogen, an interhalogen, a halogen-generating compound, and combinations thereof.
- 60. (Original) The method of claim 51 wherein the halogen-containing compound is selected from the group consisting of a halogen; an interhalogen; a halogen-generating compound selected from the group consisting of XeF₂, HgF₂, SF₄, alkyl halides, and complexes of halogen with organic bases; and combinations thereof.
- 61. (Original) The method of claim 51 wherein the halogen-containing compound is selected from the group consisting of F₂, Cl₂, Br₂, I₂, ClBr, IBr, ICl, BrF, ClF, ClF₃, BrF₃, ClF₅, IF₅, IF₇, XeF₂, HgF₂, SF₄, alkyl halides, and complexes of halogen with organic bases, and combinations thereof.

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62. (Original) The method of claim 51 wherein the halogen-containing compound is selected from the group consisting of ClBr, IBr, ICl, BrF, ClF, ClF₃, BrF₃, ClF₅, IF₅, IF₇, XeF₂, HgF₂, SF₄, alkyl halides, and complexes of halogen with organic bases, and combinations thereof.

- 63. (Original) The method of claim 51 wherein the halide salt is an inorganic salt.
- 64. (Original) The method of claim 63 wherein the inorganic halide salt is selected from the group consisting of NaI, KCl, KBr, NH₄F, and combinations thereof.
- 65. (Original) The method of claim 51 wherein the halide salt is an organic salt.
- 66. (Original) The method of claim 65 wherein the organic salt is selected from the group consisting of Et₄NBr, Me₃NHCl, Me₄NF, and combinations thereof.
- 67. (Original) The method of claim 51 wherein the halogen-containing compound is present in the planarization composition in an amount of at least about 0.1% by weight and the halide salt is present in the planarization composition in an amount of at least about 0.1% by weight.
- 68. (Original) The method of claim 51 wherein the halogen-containing compound is present in the planarization composition in an amount of about 1% to about 10% by weight.
- 69. (Original) The method of claim 51 wherein the halide salt is present in the planarization composition in an amount of about 1% to about 10% by weight.
- 70. (Original) The method of claim 51 wherein the halogen of the halogen-containing compound is different than the halogen of the halide salt.

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- 71. (Original) The method of claim 51 wherein the planarization composition is not basic.
- 72. (Original) The method of claim 51 wherein the polishing surface comprises a fixed abrasive article.
- 73. (Original) A planarization method comprising:

providing a semiconductor substrate or substrate assembly including at least one region of a platinum-containing surface;

providing a polishing surface;

providing a planarization composition at an interface between the at least one region of platinum-containing surface and the polishing surface; and

planarizing the at least one region of platinum-containing surface;

wherein the planarization composition comprises a halogen-containing compound and a halide salt, with the proviso that the planarization composition does not include abrasive particles.

- 74. (Original) The method of claim 73 wherein the platinum-containing surface of the substrate comprises platinum in elemental form.
- 75. (Original) The method of claim 73 wherein the platinum is present in an amount of about 50 atomic percent or more.
- 76. (Original) The method of claim 73 wherein the semiconductor substrate or substrate assembly is a silicon wafer.

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77. (Original) The method of claim 73 wherein the halogen-containing compound is selected from the group consisting of a halogen, an interhalogen, a halogen-generating compound, and combinations thereof.

- 78. (Original) The method of claim 73 wherein the halogen-containing compound is selected from the group consisting of a halogen; an interhalogen; a halogen-generating compound selected from the group consisting of XeF₂, HgF₂, SF₄, alkyl halides, and complexes of halogen with organic bases; and combinations thereof.
- 79. (Original) The method of claim 73 wherein the halogen-containing compound is selected from the group consisting of F₂, Cl₂, Br₂, I₂, ClBr, IBr, ICl, BrF, ClF, ClF₃, BrF₃, ClF₅, IF₅, IF₇, XeF₂, HgF₂, SF₄, alkyl halides, and complexes of halogen with organic bases, and combinations thereof.
- 80. (Original) The method of claim 73 wherein the halide salt is an inorganic salt.
- 81. (Original) The method of claim 80 wherein the inorganic halide salt is selected from the group consisting of NaI, KCl, KBr, NH₄F and combinations thereof.
- 82. (Original) The method of claim 73 wherein the halide salt is an organic salt.
- 83. (Original) The method of claim 82 wherein the organic salt is selected from the group consisting of Et₄NBr, Me₃NHCl, Me₄NF, and combinations thereof.
- 84. (Original) The method of claim 73 wherein the halogen-containing compound is present in the planarization composition in an amount of at least about 0.1% by weight and the halide salt is present in the planarization composition in an amount of at least about 0.1% by weight.

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85. (Original) The method of claim 73 wherein the halogen-containing compound is present in the planarization composition in an amount of about 1% to about 10% by weight.

- 86. (Original) The method of claim 73 wherein the halide salt is present in the planarization composition in an amount of about 1% to about 10% by weight.
- 87. (Original) The method of claim 73 wherein the polishing surface comprises a fixed abrasive article.
- 88. (Original) A planarization method for use in forming an interconnect, the method comprising:

providing a semiconductor substrate or substrate assembly having a patterned dielectric layer formed thereon and a metal-containing layer formed over the patterned dielectric layer, wherein the metal-containing layer comprises a metal selected from the group consisting of a Group VIIIB metal, a Group IB metal, and a combination thereof;

positioning a first portion of a polishing surface for contact with the metalcontaining layer;

providing a planarization composition in proximity to the contact between the polishing surface and the metal-containing layer; and

planarizing the metal-containing layer:

wherein the planarization composition comprises a halogen-containing compound and a halide salt, with the proviso that the planarization composition does not include abrasive particles.

89. (Original) The method of claim 88 wherein the halogen-containing compound is selected from the group consisting of a halogen, an interhalogen, a halogen-generating compound, and combinations thereof.

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90. (Original) The method of claim 88 wherein the halogen-containing compound is selected from the group consisting of a halogen; an interhalogen; a halogen-generating compound selected from the group consisting of XeF₂, HgF₂, SF₄, alkyl halides, and complexes of halogen with organic bases; and combinations thereof.

- 91. (Original) The method of claim 88 wherein the halogen-containing compound is selected from the group consisting of F₂, Cl₂, Br₂, I₂, ClBr, IBr, ICl, BrF, ClF, ClF₃, BrF₃, ClF₅, IF₅, IF₇, XeF₂, HgF₂, SF₄, alkyl halides, and complexes of halogen with organic bases, and combinations thereof.
- 92. (Original) The method of claim 88 wherein the halide salt is an inorganic salt.
- 93. (Original) The method of claim 92 wherein the inorganic halide salt is selected from the group consisting of NaI, KCl, KBr, NH₄F and combinations thereof.
- 94. (Original) The method of claim 88 wherein the halide salt is an organic salt.
- 95. (Original) The method of claim 94 wherein the organic salt is selected from the group consisting of Et₄NBr, Me₃NHCl, Me₄NF, and combinations thereof.
- 96. (Original) The method of claim 88 wherein the halogen-containing compound is present in the planarization composition in an amount of at least about 0.1% by weight.
- 97. (Original) The method of claim 96 wherein the halogen-containing compound is present in the planarization composition in an amount of about 1% to about 10% by weight.

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98. (Original) The method of claim 88 wherein the halide salt is present in the planarization composition in an amount of at least about 0.1% by weight.

- 99. (Original) The method of claim 98 wherein the halide salt is present in the planarization composition in an amount of about 1% to about 10% by weight.
- 100. (Original) The method of claim 88 wherein the polishing surface comprises a fixed abrasive article.
- 101. (Original) A planarization method comprising:

positioning a metal-containing surface of a substrate to interface with a polishing surface comprising a fixed abrasive article, wherein the metal-containing surface comprises a metal selected from the group consisting of a Group VIIIB metal, a Group IB metal, and a combination thereof;

supplying a planarization composition in proximity to the interface; and planarizing the substrate surface;

wherein the planarization composition comprises a halogen-containing compound and a halide salt.

102. (Original) A planarization method comprising:

providing a semiconductor substrate or substrate assembly including at least one region of a platinum-containing surface;

providing a polishing surface comprising a fixed abrasive article;
providing a planarization composition at an interface between the at least one
region of platinum-containing surface and the polishing surface; and
planarizing the at least one region of platinum-containing surface;

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wherein the planarization composition comprises a halogen-containing compound and a halide salt.

103. (Original) A planarization method for use in forming an interconnect, the method comprising:

providing a semiconductor substrate or substrate assembly having a patterned dielectric layer formed thereon and a metal-containing layer formed over the patterned dielectric layer, wherein the metal-containing layer comprises a metal selected from the group consisting of a Group VIIIB metal, a Group IB metal, and a combination thereof;

positioning a first portion of a polishing surface comprising a fixed abrasive article for contact with the metal-containing layer;

providing a planarization composition in proximity to the contact between the polishing surface and the metal-containing layer; and

planarizing the metal-containing layer;

wherein the planarization composition comprises a halogen-containing compound and a halide salt.